When the World Summit on the Information Society (WSIS) concluded in Tunis in November 2005, it was hailed as a great achievement. There is, however, another yet untold story about the WSIS negotiations and the subsequent outcome. It focuses on the ill-fated European proposal to internationalize Internet governance and to curtail the policy-making power of the Internet Corporation for Assigned Names and Numbers (ICANN), the corporation currently in charge of Internet naming and numbering. It is the story of a missed opportunity for what could have become a “constitutional moment” in international Internet governance. With its Constitution arguably being the oldest and most enduring worldwide, the United States traditionally has been at the forefront of fostering and advancing constitutional governance structures, at times even through the use of force. Why then, has the United States vigorously opposed the European proposal, with its concept of self-constrained governance in the important context of global information flows? The aim of this article is to offer an answer.

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When, after years of preparation and consultations, the World Summit on the Information Society (WSIS) concluded in Tunis with the adoption of the Tunis Commitment and the Tunis Agenda on November 18, 2005, the nearly fifty heads of state, vice–presidents, and almost 200 cabinet-level government officials from around the world hailed it as a great achievement. The three-day meeting ended a multi-year process that had officially begun with the first WSIS meeting in Geneva in December 2003. For many of the 19,000 participants, the relief was palpable. Tense negotiations, public diplomacy, and a momentous switch of key players in the months leading up to the Tunis event had at times put the prospect of a successful conclusion of the WSIS process in doubt.

In the end, though, it seemed that everybody had gotten something. The Tunis Agenda (hereinafter “Agenda”) and the Tunis Commitment put forward an ambitious vision of overcoming the global digital divide and of facilitating economic and social development through the use of information and communication technologies, yet stayed clear of mandating massive financial commitments to achieve the envisioned goals. Perhaps more importantly, the Agenda set out the medium-term future of global Internet governance, thus seemingly ending years of intense battles that had pitted the United States as the operator of the Internet’s naming and numbering frameworks – through the Internet Corporation for Assigned Names and Numbers (ICANN) – against other nations

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and organizations that demanded a more international governance mechanism for the core of the global information and communication network.

The United States had strongly opposed any internationalization of the process, while China, among many others, demanded a bigger say. The Europeans initially chose to keep a lower profile, but in September of 2005 they had a change of heart, formally proposing a more international and intergovernmental framework for Internet naming and numbering. Such a switch by the Europeans prompted angry reactions from the U.S. government. As it approached the brink of failure, the WSIS process was saved in the

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9 See, e.g., Wright, supra note 8.


nick of time by creating an international Internet Governance Forum (IGF)\textsuperscript{12} under the auspices of the United Nations.

To placate the United States, it was agreed that the IGF would advise the U.S.-based ICANN, without having any actual power to control its actions.\textsuperscript{13} In particular, developing nations were willing to agree to the compromise in order to facilitate the acceptance of the digital divide agenda, which was more important from their perspective.\textsuperscript{14} Those that had advocated for more international oversight of ICANN could call the creation of IGF a victory of sorts, while U.S. officials assured their constituencies that the IGF was a powerless body and that ICANN would continue to operate unconstrained.\textsuperscript{15} So goes the story reported in the media and editorialized on-and off-line.\textsuperscript{16}

There is, however, another mostly untold story about the WSIS negotiations and their subsequent outcome, which focuses on the sudden change in the European position. It is the story of a missed opportunity for what could have become a “constitutional moment”\textsuperscript{17} in international Internet governance.

With its Constitution arguably being the oldest and most enduring worldwide, the United States traditionally has been at the forefront of fostering and advancing constitutional governance structures, at times even through the use of force.\textsuperscript{18} Why then,

\begin{itemize}
  \item \textsuperscript{12} See Tunis Agenda, supra note 3, at § 72 (“We ask the UN Secretary-General, in an open and inclusive process, to convene, by the second quarter of 2006, a meeting of the new forum for multi-stakeholder policy dialogue—called the Internet Governance Forum (IGF).”).
  \item \textsuperscript{13} The mandate as put forward in Section 72(a)-(l) of the Agenda includes only soft powers such as “discuss,” “facilitate,” “interface,” “advise,” “promote” and “help.”
  \item \textsuperscript{15} See A Peace of Sorts, The Economist, Nov. 17, 2005.
  \item \textsuperscript{16} See id.; Wright, supra note 8; Kempe, supra note 11.
  \item \textsuperscript{17} See Bruce Ackerman, Constitutional Politics/Constitutional Law, 99 Yale L.J. 453 (1989); Bruce Ackerman, 1 We, The People: Foundations (Belknap Press 1991); Bruce Ackerman, 2 We, The People: Transformations (Belknap Press 1998) [hereinafter, collectively, Ackerman pieces]; see generally William E. Forbath, Constitutional Change and the Politics of History, 108 Yale L.J. 1917 (1999) (discussing the rich secondary literature on Ackerman’s idea).
\end{itemize}
did the United States vigorously oppose the European proposal, with its concept of self-constrained governance in the important context of global information flows? The aim of this article is to offer an answer.

In Part I, we briefly recount the current structure of naming and numbering governance on the Internet through ICANN. In Part II, we describe the main elements of the European proposal. Part III analyzes why and to what extent the proposal would have enabled a unique “constitutional moment” for Internet governance. Part IV explores why the proposal failed to persuade the U.S. government despite its own constitutional history. Examining four sets of potential reasons – federalism, individual rights, public choice, and international governance – we find that a combination of differently aligned economic interests and a reluctance to delegate even self-constrained power to an international regime explains why 2005 will not be remembered as the Internet’s 1789.

I. ICANN AND INTERNET GOVERNANCE

Nobody owns the Internet. No government has sole power over the Net, as its components fall under numerous national and state jurisdictions that may set forth constraints on what can be communicated over it.19 Due to the way the Internet works, there exists no central technical control nor is there a need for it. Packets of information are able to find their own way from sender to recipient.20 Some say this is one of the secrets of the Internet’s success.21 Not surprisingly therefore, the Internet does not have a central governing and coordinating core, except for three specific functions that have to do with addressing devices, mostly computers, connected to the Internet.22

These functions cover (a) establishing the policy for allocating blocks of Internet addresses, (b) operating the root servers that make it possible for devices on the network to find each other and for packets of information to travel from senders to recipients across the network, and (c) setting and enforcing the policies for the creation and administration of Top-Level Domains (TLDs), the suffixes of Internet domain names.23


20 For a summary of the packet switching process via TCP/IP, see id. at 19-25.

21 See, e.g., Letter from Condoleeza Rice, supra note 11 (writing that “[t]he success of the Internet lies in its inherently decentralized nature”).

22 See Cukier, supra note 8, at 8-9; see also Mueller, *Ruling the Root*, supra note 6, at 31-56. Centralizing the three addressing functions, however, was not a technical necessity but a deliberate design decision.

23 See ICANN Information, http://www.icann.org/general/ (last visited Apr. 22, 2007). ICANN is responsible for coordinating the management of the technical elements of the DNS to ensure universal resolvability so that all users of the Internet can find all valid addresses. It does this by overseeing the distribution of unique technical identifiers used in the Internet’s operations,
While other vital tasks like domain name management and packet routing are largely decentralized, the three functions above are not only centralized, but also currently performed together by one single organizational entity—the Internet Corporation for Assigned Names and Numbers (ICANN).24

ICANN was incorporated as a non-profit corporation governed by California law in 1998,25 and operates based on a Memorandum of Understanding (MoU) with the U.S. Department of Commerce,26 which had previously held jurisdiction over Internet naming and numbering.27

As the organization controlling the fundamentals of Internet naming and numbering, ICANN is capable of, in principle, deciding which devices can connect to the Internet and with which names. Frequently, ICANN exercises its power following broad consensus, for example when creating new top level domains or reassigning the power to register domain names for certain geographic areas,28 although at times this process has taken longer than expected.29

and delegation of Top-Level Domain names (such as .com, .info, etc.); see also Cukier, supra note 8, at 8-9.

24 See Mueller, Ruling the Root, supra note 6, at 211-26. It is debatable whether all three functions ICANN performs need to be centralized. There is certainly no inherent necessity to have them bundled in one single organization, but that is the way ICANN was set up and has been operating since.

25 See Hutter, supra note 6, at 47.


27 See Mueller, Ruling the Root, supra note 6, at 156-58.

28 ICANN redelegated control over country code top-level domains (ccTLDs) for a number of countries, such as Australia (.au), Japan (.jp), Burundi (.bi), Malawi (.mw), and the Pitcairn Islands (.pn). See Kim G. von Arx & Gregory R. Hagen, Sovereign Domains: A Declaration of Independence of ccTLDs from Foreign Control, 9 Rich. J.L. & Tech. 4 (2002), http://www.law.richmond.edu/jolt/v9i1/article4.html; Frankel, Governing by Negotiation, supra note 6, at 470; Froomkin, Wrong Turn in Cyberspace, supra note 6, at 47-48 (providing a brief account of the steps taken by the Palestinians to register the .ps domain).

29 For example, the negotiations over the .eu top-level domain lasted more than 5 years before ICANN finally agreed to create it. See, e.g., Robin O’Brien Lynch, Europe’s Internet Domain Finally Gets Green Light, Irish Times, Apr. 1, 2005, at 3 (describing ICANN as an organization “which is also not renowned for its swiftness of action”). But see Kieren McCarthy, EU Domain Jumps Final Hurdle, The Register, Mar. 19, 2005, available at
At least once in recent times, however, ICANN’s actions could have been interpreted as influenced more directly by US domestic concerns. In August 2005, ICANN was supposed to decide on a Florida entrepreneur’s proposal to approve the new .xxx top-level domain for adult Internet sites, but postponed its decision several times because of formal protest by the U.S. Government, which has veto power over the Internet addressing system.30

ICANN has caused further debate internationally through its attempts to harmonize the process of resolving disputes over domain names. It has created a specific dispute resolution process, the Uniform Domain Name Dispute Resolution Policy (UDRP) that it attempts to require domain name registrars to abide by when they are confronted with a disputed claim over a domain name.31 The UDRP, which is based on

http://www.theregister.co.uk/2005/03/19/eu_domain_jumps_final_hurdle/ (arguing that the delays were mainly a result of the EU bureaucracy).

30 Only recently, the ICANN Board of Directors decided to reject the application for the .xxx domain. ICANN, Announcement: ICANN Board Votes Against .XXX Sponsored Top Level Domain Agreement, May 10, 2006, http://www.icann.org/announcements/announcement-10may06.htm. The plans had already been shelved at the New Zealand meeting in late March 2006 “with the US once again understood to have lodged its opposition to the idea.” Richard Waters, Plans for .xxx Porn Website Domain Shelved, Fin. Times (London), Apr. 1, 2006, at 7. As to earlier interventions, see Chris Nuttall, Sex Net Domain Arouses Wrath of Religious Right, Fin. Times (London), Aug. 17, 2005, at 4 (citing a letter by Michael Gallagher, U.S. Assistant Commerce Secretary, to Vint Cerf, Chairman of ICANN, that states: “Given the extent of the negative reaction, I request that the board will provide a proper process and adequate additional time for these concerns to be voiced.”); Feds Urge Delay for .XXX Domain, Wired News, Aug. 16, 2005, http://www.wired.com/techbiz/it/news/2005/08/68545; Kieren McCarthy, ICANN Kills .xxx Porn Domain, The Register, Dec. 1, 2005, available at http://www.theregister.co.uk/2005/12/01/icann_kills_xxx/ (speculating on the causes for the delay and concluding that it is more likely that “the US government intervened but is desperate to avoid being seen to do so because of the ongoing Internet governance conflict”); Jascha Hoffman, The Porn Suffix, N.Y. Times (Magazine), Dec. 11, 2005, at 86. Another case in which ICANN’s policy has provoked an international policy discussion is the reassignment of Iraq’s ccTLD “.iq.” See Farah Stockman, At Last, Iraq Finds a Web Designation, The Boston Globe, Nov. 24, 2005, at A36; Bartle Breese Bull, The .iq Debacle, Foreign Policy, Sept./Oct. 2005, available at http://www.foreignpolicy.com/story/cms.php?story_id=3207. Originally, ICANN granted control over the domain to a Texas-based Palestinian, Bayan Elashi, but resumed control after Elashi was sent to prison for funding a terrorist organization in 2002. See Bull, supra. Following the U.S. invasion in 2003, Paul Bremer, the U.S. administrator in Iraq, asked ICANN to assign the domain to the incoming Iraqi government, but ICANN refused, arguing Iraq was not yet stable enough. See Stockman, supra (citing a former American adviser to the Iraqi government who stated: “ICANN made it clear it would not accept a request by an occupying authority.”). Only in November 2005 were Iraqi officials able to announce the launch of .iq on the Web.

31 Uniform Domain Name Dispute Resolution Policy [UDRP], http://www.icann.org/dndr/udrp/policy.htm (last visited Apr. 22, 2007); see A. Michael Froomkin, ICANN’s “Uniform Dispute Resolution Policy” – Causes and (Partial) Cures, 67 Brook. L. Rev. 605 (2002) (examining the UDRP’s procedural provisions and criticizing the basic unfairness in the current regime); Michael Geist, Fair.com?: An Examination of the Allegations of Systemic Unfairness in the ICANN UDRP, 27 Brook J. Int’l L. 903 (2002) (examining the
the concept of U.S. trademark law, works relatively well for disputes among U.S.-based claimants. It also works well for domain names registered in the United States by non-U.S. parties, as the registrants must contractually accept to resolve disputes under U.S. law when they sign up for a domain name from an American registrar. U.S. trademark law and the related UDRP process may not be familiar to such registrants, but at least they have arguably voluntarily availed themselves of U.S. jurisdiction and legal principles.32

The situation differs, though, for disputes over domain names between two non-U.S. claimants before a non-U.S. registrar. In such circumstances, it is very likely that non-U.S. law will apply and the disputing parties may have claims that differ greatly from those that may arise under U.S. trademark law. Moreover, domain name registrars in non-U.S. jurisdictions will likely have to follow the legal processes dictated by the jurisdiction they operate in. Therefore, it is not surprising that non-U.S. registrars as well as managers of country-code top-level domains (ccTLDs)33 have resisted adhering to the UDRP, especially when following the policy would force them to violate the laws of their home jurisdiction.

Instead of accepting a range of policies in line with various jurisdictions, ICANN, at least initially, attempted to strong-arm managers of ccTLDs and non-U.S. registrars to accept the UDRP by suggesting that ccTLDs could be reassigned if TLD managers and registrars failed to abide by the policy.34 ICANN’s maneuver only increased the perception that it desires to dominate the process of settling domain name disputes.

32 See UDRP, supra note 31, at § 4(a) (requiring accredited registrars to include the UDRP in the contract between registrar and registrant); see also Hestermayer, supra note 31, at 25-26; Laurence R. Helfer, Whither the UDRP: Autonomous, Americanized, or Cosmopolitan?, 12 Cardozo J. Int’l & Comp. L. 493, 496-504 (2004) (arguing that “thus far, American laws and legal structures predominate” over the UDRP).

33 Country-code top-level domains (ccTLDs) are two-letter domains like .uk (United Kingdom) and .de (Germany) which correspond to a country, territory, or other geographic location. ICANN Glossary, http://icann.org/general/glossary.htm (last visited Apr. 22, 2007); see also Internet Assigned Numbers Authority [IANA], Country-Code Top-Level Domains (ccTLDs), http://www.iana.org/cctld/cctld.htm (last visited Apr. 22, 2007) (describing the procedure for registering ccTLDs).

34 Registrars of generic domain names like .com, .net, and .org are required to adopt the UDRP in order to be accredited by ICANN. ICANN Registrar Accreditation Agreement, § II.K,
Despite its global reach, ICANN is largely a U.S. construct. Bound by California law and based in the United States, ICANN is politically, if not legally, dependent on the delegation of power from the U.S. Department of Commerce through the MoU.\(^35\) ICANN is governed by a board of fifteen voting directors,\(^36\) currently chaired by Internet pioneer Vinton G. Cerf.\(^37\) Initially, five members of ICANN’s board were to represent users in specific geographic regions and to be selected through Internet-wide elections.\(^38\) In 2002, however, ICANN reorganized by abolishing these At-Large board members and replacing them with an almost entirely internal selection process subject to certain rules requiring geographic diversity.\(^39\)

In viewing the combination of ICANN’s power with the actual as well as perceived U.S. domestic influence on its decision-making, governments around the world that are sometimes at odds with the United States on various issues, such as France, Russia, China, and Brazil, have noted ICANN’s willingness to exercise its own power. These governments and many others have repeatedly called on the U.S. Government to internationalize policy-making over naming and numbering, pointing to the obviously global character and reach of the Internet.\(^40\)

The Clinton administration defended ICANN by pointing to its technical nature and what it saw as a bottom up decision-making process, epitomized by the (now abolished) directly elected At-Large board members.\(^41\) Until 2000, the U.S. mantra was

\(^{35}\) See Memorandum, supra note 26.


\(^{38}\) Mueller, Ruling the Root, supra note 6, at 198-200.


\(^{40}\) See Mueller, Ruling the Root, supra note 6 at 150-52 (describing how the E.U. called on the U.S. State Department to allow for “direct European participation” in Internet governance); Cukier, supra note 8, at 7 (“Governments worldwide sought to dilute the United States’ control.”).

\(^{41}\) See, e.g., U.S. Department of Commerce, Management of Internet Names and Addresses, 63 FR 31741, 31750 (1998) (“Nominations to the Board of Directors should preserve, as much as possible, the tradition of bottom-up governance of the Internet, and Board Members should be elected from membership or other associations open to all or through other mechanisms that ensure broad representation and participation in the election process.”).
that the Internet was too dynamic and too important to be placed under bureaucratic control, be it domestic or international. The Bush administration has offered a somewhat different policy stance, allowing ICANN to replace At-Large board members with an equally international group of stakeholder representatives. As a result, ICANN may have arguably become less democratically legitimate, while at the same time keeping its international representation. It appears that the Bush administration has realized the importance of the smooth operation of the Internet for the functioning of an increasingly information-based U.S. economy, and has thus become extremely reluctant to let others have a say in its governance. The Bush Administration fears that an intergovernmental process would not only lack the ability to act swiftly and flexibly, but also potentially expose the Internet to unnecessary security and stability risks.

In an attempt to facilitate the continued global spread of the Internet, ensure the smooth functioning of the network in general, and discuss the difference of opinions over policy-setting on Internet naming and numbering, the International Telecommunication Union (ITU) passed a resolution in 1998 to propose the idea of a World Summit on the Information Society (WSIS) under the auspices of the United Nations. In 1999, the United Nations Secretary General began preparatory work on the issue, and in December 2001, the United Nations General Assembly adopted a resolution endorsing a multi-year two-phase WSIS process.

The objective of the first phase (“Geneva Phase”) was “to develop and foster a clear statement of political will and take concrete steps to establish the foundations for an Information Society for all.” After two general preparatory committee meetings (PrepComs) and various regional conferences, the deliberations culminated in the Geneva conference in December 2003. This conference resulted in the Geneva Declaration of

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43 Cf. USDNS Principles, supra note 7 (“The United States Government intends to preserve the security and stability of the Internet’s Domain Name and Addressing System.”).

44 ITU, supra note 1.

45 The process was envisioned to have two phases: while the first phase took place in Geneva and focused on developing an agenda of political goals for the Information Society, the second phase took place in Tunis and aimed at putting the Geneva Plan of Action into motion and reaching agreement on further issues, such as Internet governance or financing mechanisms. Each phase was preceded by a number of preparatory meetings (PrepComs) that led up to final statements and agendas. See International Telecommunication Union, Basic Information: About WSIS, http://www.itu.int/wsis/basic/about.html (last visited Apr. 22, 2007) [hereinafter WSIS Info].

46 Id.
Principles and the Geneva Plan of Action. The second phase (“Tunis Phase”) was “to put Geneva’s Plan of Action into motion as well as to find solutions and reach agreements in the fields of Internet governance” – the latter a hold-over from the first phase. Two preparatory meetings (PrepComs), regional conferences, and WSIS working group meetings paved the way for the Tunis meeting that took place in November 2005, which resulted in the Tunis Agenda and the Tunis Commitment, leaving ICANN’s policy-making powers untouched. ICANN therefore continues to hold the power to regulate Internet naming and numbering despite continuing international debate.

II. THE EUROPEAN PROPOSAL

The European Union proposal was formally submitted to the WSIS process very, very late—during the third preparatory conference for the Tunis meeting (PrepCom 3) on September 30, 2005, when the United Kingdom, which held the rotating presidency of the European Union at that time, formally put it forward. This section describes the central elements of the proposal and analyzes its unique feature: substantive self-constraint of governance preserving the Internet’s fundamental values.

The European proposal is short, taking up less than a page and a half, and is at times vague. It builds on a proposal by the chair of the Internet Governance subcommittee of the WSIS process and offers two modifications to the institutional arrangement for Internet governance that the chair’s proposal had outlined.


49 WSIS Info, supra note 45.

50 Tunis Agenda, supra note 3.

51 Tunis Commitment, supra note 2.

52 EU Proposal, supra note 10.

53 The Chair’s original proposal avoided any concrete language and merely provided a rough outline for § 62:

62. In reviewing the adequacy of existing institutional arrangements for Internet Governance and for policy debate, we agree that some adjustments need to be made to bring these into line with the “Geneva principles.” Accordingly, we propose:

• Approach: evolutionary; incremental
• Framework for interface between existing and future arrangements

198
First, the European proposal explicitly includes policy-setting of Internet naming and numbering under the auspices of an international governance body. It does not foresee that ICANN will be replaced in performing its naming and numbering functions,

- Governance/oversight function: (models)
- Recommended mandate and structure, subject to agreement on the interface.

Possible forum


54 The main points are introduced in §§ 63-64:

**63. Principles**

The new model for international cooperation stated in paragraph [49] should adhere, besides the Geneva principles, to the following guiding principles:

- it should not replace existing mechanisms or institutions, but should build on the existing structures of Internet Governance, with a special emphasis on the complementarity between all the actors involved in this process, including governments, the private sector, civil society and international organisations each of them in its field of competence;

- this new public-private co-operation model should contribute to the sustainable stability and robustness of the Internet by addressing appropriately public policy issues related to key elements of Internet Governance;

- the role of governments in the new cooperation model should be mainly focused on principle issues of public policy, excluding any involvement in the day-to-day operations;

- the importance of respecting the architectural principles of the Internet, including the interoperability, openness and the end-to-end principle.

**64. Essential tasks**

The new cooperation model should include the development and application of globally applicable public policy principles and provide an international government involvement at the level of principles over the following naming, numbering and addressing-related matters:

a. Provision for a global allocation system of IP number blocks, which is equitable and efficient;

b. Procedures for changing the root zone file, specifically for the insertion of new top level domains in the root system and changes of ccTLD managers;

c. Establishment of contingency plans to ensure the continuity of crucial DNS functions;

d. Establishment of an arbitration and dispute resolution mechanism based on international law in case of disputes;

e. Rules applicable to DNS system.

*EU Proposal, supra* note 10, at 1.
nor does it necessarily suggest that ITU or any other existing international body should be
given the power to set policies. Instead, it envisions a new international institution for
Internet governance that will set policies that ICANN would have to follow in performing
its functions.\textsuperscript{55} In essence, the proposal suggests allowing ICANN to run the day-to-day
operations necessary for the Internet to function, but moving the policy-making function
of ICANN’s Board of Directors to a new international institutional arrangement,\textsuperscript{56} and
creating an advisory forum to discuss Internet governance matters.\textsuperscript{57}

Second, the E.U. proposal mandates that any decision-making on Internet
governance by the new institutional body must adhere to a set of very general principles
(the “Geneva principles”\textsuperscript{58}) agreed upon at the end of the first phase of the WSIS process,
as well as to an additional set of four specific principles.\textsuperscript{59} The first three of these
additional principles focus on the mechanism of governance. They stress structural
complementarity (avoiding duplication of processes and mechanisms),\textsuperscript{60} sustainable
stability and robustness of the Internet,\textsuperscript{61} and a focus on long-term policy issues rather
than day-to-day operations.\textsuperscript{62} Taken together, these principles can be seen as assurances
to ICANN and its supporters that shifting policy-making to an international body would
not impede on ICANN’s routine operations.

The fourth specific principle, however, addresses the substance as opposed to the
mechanism of governance. It stipulates that international governance oversight must
adhere to “the architectural principles of the Internet, including the interoperability,
openness and the end-to-end principle.”\textsuperscript{63} The proposal lacks definitions for any of these
architectural principles (which together with other linguistic weaknesses may have
contributed to the proposal’s failure), however, defining them may not be absolutely
necessary. Although it states that it is “in no way intended to be a formal or invariant
reference model”\textsuperscript{64} and “does not specify an Internet standard of any kind,”\textsuperscript{65} RFC 1958,
a document of the Internet Architecture Board’s (IAB) Network Working Group entitled “Architectural Principles of the Internet,” does suggest that there are in fact certain shared beliefs in the Net’s architectural design. It describes in detail, for example, why it is important that devices on the Internet are able to interconnect and how this is achieved through an open universal protocol and related standards. Echoing the European proposal’s principles of “openness” and “Interoperability,” RFC 1958 states that the Internet community’s belief is “that the goal is connectivity, the tool is the Internet Protocol, and the intelligence is end to end rather than hidden in the network.”

This concept of end-to-end intelligence found in RFC 1958 is often referred to as the end-to-end principle (e2e). Also explicitly referred to as one of the architectural principles of the Internet in the European proposal, the end-to-end principle was first suggested in a paper by Saltzer, Reed, and Clark. Promulgated by several of the lead authors of the Internet’s fundamental protocols, the e2e principle is deeply embedded in the Net’s current structure and it is often seen as the most fundamental architectural principle of the Internet. In its most technical form it stipulates that “certain required

65 Id.

66 Id. RFC 1958 is extended by RFC 3439, but is not superseded it. Randy Bush & David Meyer, Some Internet Architectural Guidelines and Philosophy, RFC 3439 (Dec. 2002). In particular, it adds more detail to the existing principles and elevates the “keep it simple” rule of thumb to a formal “Simplicity Principle,” but leaves the general “beliefs” unchanged. Id. at 2-3.

67 Carpenter, supra note 64.

68 Id. at 2.

end-to-end functions can only be performed correctly by the end-systems themselves.” 70

RFC 1958 offers a simpler (and broader) version: “The network’s job is to transmit
datagrams as efficiently and flexibly as possible. Everything else should be done at the
fringes.” 71

The e2e principle assumes that the network itself performs no function beyond
transmitting data packets efficiently. All additional functionality, from authentication to
processing, is to be done by the end points (i.e., the devices that connect to the network).
This differs fundamentally from other communication networks, such as that of the
telephone, where the network performs most functions while the telephones remain
relatively “stupid” at the end points. 72

In suggesting that the role of the network is simply to transport data packets on
their way from sender to recipient, the e2e principle also implicitly restricts the functions
of the network. The network, for example, is not supposed to filter certain data packets
based on their content, nor is it supposed to authenticate them, track them, or alter them.
It only ought to pass them on.

While the European proposal does not explicitly link to or cite RFC 1958,
evidence indicates that at least some Europeans intended to incorporate its ideology and
that of similar writings of the Internet community. For example, a French government
document from January 2005 very similarly maps out what it calls “principles and
values” of the Internet: “openness,” “interoperability,” “network neutrality,” and
“innovation,” citing RFCs and IETF documents as well as works on the domain name
system. 73 In June 2005, an informal paper entitled “Internet Architecture: The Stakes of
the End to End Principle” circulated among the European delegates, 74 approvingly cited
work advocating – in the U.S. context – “network neutrality” 75 and warned in stark terms
of the risk of fragmentation of the Internet if the end-to-end principle is not heeded in the

70 Saltzer et al., supra note 69.

71 Id.

72 David Isenberg has famously called this the “rise of the stupid network.” See David
Apr. 22, 2007).

73 French Government, General Principles of Internet Governance: Proposal of the French
http://www.netgouvernance.org/GovernancePrinciplesENG.pdf [hereinafter French Government,
General Principles].

74 French Government, Internet Architecture: The Stakes of the End to End Principle, June 6,
Internet Architecture].

75 Id. at 2 (citing, among others, Ross Rader, Internet to ITU: Stay Away from my Network,
CircleID, Dec. 21, 2004,
http://www.circleid.com/posts/internet_to_itu_stay_away_from_my_network); French
Government, General Principles, supra note 73, at 2.
regulatory arena and vertical integration and network service differentiation are allowed to develop. 76

The European proposal does not suggest that only technical matters should be solved in reference to these technical Internet principles. Instead, the language of the proposal as well as that of the preparatory documents makes clear that the Europeans, like many in the Internet community, attach value to these architectural principles that goes beyond the purely technical. RFC 1958 refers to the principles as reflections of broader “beliefs,” which are further detailed in a subsequent RFC. 77 Similarly, in the June 2005 document circulating among the European delegates, its author suggests a broader interpretation of these architectural principles to reflect individual freedom to express oneself, to communicate, and to build upon the work of others. 78

In sum, the Europeans proposed delegating Internet naming and numbering policy-making to a new international body that would be mandated to adhere to the fundamental principles of the Internet community in setting policies.

III. THE UNIQUE NATURE OF THE PROPOSAL

The WSIS process was a reaction to concerns of the international community that too much of the policy-making power over the global information and communication infrastructure that we call the Internet was held by too few players. Internationalization on an intergovernmental basis was seen as the obvious path leading to more inclusive governance better insulated from domestic politics and short-term domestic political pressures – particularly those of the United States.

77 See Bush & Meyer, supra note 66.
78 See French Government, General Principles, supra note 73, at 5. This broad interpretation of the end-to-end principle also ties in with the findings of the Working Group of Internet Governance (WGIG), a separate group of about 40 members from governments, the private sector, and civil society who met during the Geneva phase and specifically focused on Internet governance. See Geneva Declaration of Principles, supra note 47, para. 48-50; Working Group on Internet Governance [WGIG], http://www.wigig.org/ (last visited Apr. 22, 2007). In its report, WGIG provided a working definition of Internet governance as “the development and application by Governments, the private sector and civil society, in their respective roles, of shared principles, norms, rules, decision-making procedures, and programmes that shape the evolution and use of the Internet.” WGIG, Report from the Working Group on Internet Governance, Doc. WSIS-II/PC-3/DOC/5-E, Aug. 3, 2005, para. 10, available at http://www.itu.int/wsis/docs2/pc3/05f5.pdf (emphasis added). According to the background report, these “principles define what a given governance mechanism is about and, at the highest level, is intended to promote.” See WGIG, Background Report, June 2005, para. 47, available at http://www.itu.int/wsis/wigig/docs/wigig-background-report.pdf. Although the end-to-end principle is first cited as an example of the former function (i.e., a statement of fundamental facts rather than of normative advice), the background report states that the two functions “can blend into one another at times.” Id.
The United States offered two reasons against such a delegation of its powers to an international body. First, it suggested that if empowered to set policies, a bureaucratic institution like the ITU would ruin the Net, as it fails to understand and appreciate its fundamental values and principles. Second, the U.S. maintains that even if international bureaucracy would not kill the Internet, internationalization would give nations like China, which lack an appreciation for freedom of ideas and open communication, a say in Internet policy-setting. Within WSIS, it seemed that one was stuck between the Scylla of United States unilateralism and the Charybdis of international bureaucracy influenced by non-democratic regimes.

The European proposal intended to break out of this binary choice by suggesting that the transfer of concrete policy-making power from ICANN to an international institution be linked to specific constraints that incorporate the values of the Internet community. It could have shifted the entire negotiation dynamic at WSIS: had the United States joined the European proposal, the West would have been united again on its principles, while China and others intent on ensuring the capacity for information control and censorship would have been forced to choose between an international governance regime founded on values they dislike, and the continuation of the status quo with the United States at the levers of power.

Yet, the European proposal could have laid the basis for instilling constitutionality, self-constraint, and liberalism into Internet governance, as we explore in the following sections.

A. The Constitutional Moment

The European proposal connects the delegation of policy-making power to two sets of constraints. The first of these, the Geneva principles, as well as the three additional specific ones – complementarity, sustainable stability and long-term policy focus – refer to the role of internationalized Internet governance relative to existing governance institutions like ICANN as well as the process of governance. In laying out the competences along with the fundamentals of the structure and process of governance, the proposal offers the prospect for a “constitutional moment.”

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79 See Kempe, supra note 11; Mark A. Shiffrin & Avi Silberschatz, Op-Ed: Web of the Free, N.Y. Times, Oct. 23, 2005, at A13. Cf. Letter from Condoleezza Rice, supra note 11 (“Burdensome, bureaucratic oversight is out of place in an Internet structure that has worked so well for many around the globe.”).

80 After nations like Cuba, Iran, China, and Saudi Arabia applauded the EU proposal, Ambassador David A. Gross, who led the negotiations for the United States, is reported as saying: “Seeing who was supporting [the EU] was a good market-based test for what was going on.” Kempe, supra note 11.

81 See EU Proposal, supra note 10.

82 See generally Ackerman pieces, supra note 17.
To be sure, any binding of a governing body to constrain its freedom of action by appealing to higher values or fundamental principles laid out in a defining document is a constitutional exercise. It establishes principles and rules—structures and processes through which an organization or institution governs and is being governed. Most governing institutions are constrained procedurally by rules and principles encoded in their constitutional document. This is what the Geneva and three additional principles seek to do as well. They stipulate the boundaries of what an intergovernmental organization entrusted with Internet governance may impose, either in a structural sense, that is in relation to other governance institutions, or in a procedural sense, that is with respect to the procedural steps necessary to impose rules. Therefore, the European proposal goes beyond merely delegating power to an international body, prescribing how this power is to be used and situated.

B. Substantive Constraints

By proposing “architectural principles of the Internet” that policy-makers have to adhere to, the proposal adds another type of constraint. Unlike procedural restrictions, this is a substantive one, restricting not through what process or by whom, but according to what values the Internet can be governed. It differs from simple limitations of competencies found in all constitutional documents by drawing a substantive line of permissible conduct by those that govern.

Such substantive constraints are less frequently found in constitutional documents of organizations than structural or procedural constraints, and with good reason. When circumstances change, substantive constraints may turn into a hindrance to the adaptation of governance. Constitutional drafters resort to substantive constraints only when they desire to preserve fundamental values. Catalogs of fundamental rights, like the Bill of Rights of the U.S. Constitution, are examples of such fundamental substantive limitations on governance.83

The most familiar kind of substantive constraints in Constitutions takes the form of rights guaranteed to individuals. Positivists and natural law proponents may disagree as to whether a nation’s Constitution confers these rights upon the people,84 or whether it simply guarantees the natural rights already possessed by them.85 The practical result,


however, is the same: individuals have constitutionally guaranteed rights. Such rights are enforceable through the judicial branch.

In contrast, the European proposal does not constrain governance through the guarantee of individual rights that can be enforced through a court of law. In fact, the European proposal does not foresee any conflict resolution or enforcement mechanisms. Instead, it requires that the governing institution itself exercise constraint. In this sense, it suggests a mechanism of substantive self-constraint.

C. Reference to Principles of Architecture

This self-constraint is further defined by reference to “architectural principles of the Internet.” Principles of technical design seem to be elevated to fundamental values informing policy-making. This reference is not meant to limit its application to the narrow confines of technical matters, nor is it made without a deep understanding of its consequences and implications. The proponents of the proposal understood, and admired, these principles as reflections of fundamental values held by the Internet community. Openness, interoperability, and lack of central control were seen as embodiments of core liberal (if not libertarian) Western values and ideas. The proposal thus is intended less to incorporate underlying technical design choices than the common beliefs and understandings of the community of Internet users.

The incorporation of these beliefs has important implications. A central concern put forward against the internationalization of Internet governance is that an international intergovernmental body would not represent the Internet community and would thus lack legitimacy. The European proposal, by forcing international governance to adhere to the fundamental principles of the community it governs, addresses – at least to an extent – this concern of legitimacy.

Moreover, the European proposal’s legitimacy may be comparable to or even superior to the status quo of ICANN’s current policy-making. ICANN’s legitimacy is founded on the selection process of its board members, who ought to represent the

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29); Lon L. Fuller, *Positivism and Fidelity to Law – A Reply to Professor Hart*, 71 Harv. L. Rev. 630 (1958).

86 Such a self-constraint therefore works in two directions. As a “negative” constraint, it simply cuts certain options out of the decision spectrum. As a “positive” constraint, it mandates that, when confronted with two admissible options, the decision-maker choose the one that gives greater effect to the principle.

87 See supra Part II.

88 *Id.*

89 *Id.*

90 See, e.g., Wright, *supra* note 8 (citing the State Department’s David Gross: “The EU’s proposal seems to represent an historic shift in the regulatory approach to the Internet from one that is based on private sector leadership to a government, top-down control of the Internet.”).
various stakeholders of the Internet community. Its legitimacy thus rests on procedural and structural grounds – how and by whom its decisions are made. What, however, would happen when powerful stakeholders band together and abandon the community’s principles to advance their own gains? ICANN is structurally vulnerable to such “issue capture.” In contrast, in the European proposal, legitimacy rests on the mandate to adhere to the principles of the Internet community and is therefore more insulated from such capture.

The European proposal thus envisions international governance of Internet naming and numbering tethered by substantive self-constraints that embody the fundamental values and principles of the community it intends to govern. The fundamental elements of the proposal avoid many of the shortcomings of either the continuation of the status quo or unconstrained (and potentially illegitimate) international power and arguably come closer to the idea of legitimate (and legitimized) self-government that underlies the liberal, democratic conception of public decision making.

IV. THE U.S. REJECTION: WHY JEFFERSON’S 1787 COMPROMISE FAILED TO CONVINCE IN 2005

In this part we analyze U.S. opposition to the European proposal. Looking at formal grounds for rejection – ill-timing and vagueness – we suggest that for two specific reasons – one tactical, one historical – the proposal should have appealed to the United States despite its shortcomings. In this sense, the prompt rejection of the European proposal by the United States requires closer examination. We examine four possible reasons for rejection and conclude that two of them – a lack of domestic support combined with an ideological dislike of formalized international cooperation – explain the behavior of the U.S. government.

A. Formal Grounds for Rejection

Right from the beginning, the European proposal suffered from two weaknesses. First, the language it used was relatively vague and failed to clearly define what it meant by, for example, the “end to end principle,” thus leaving to the envisioned international Internet governance institution the task of interpreting the constraints of its mandate. While the proposal could certainly have been better drafted, such linguistic vagueness is neither uncommon nor crippling. One need only think of the European Convention on Human Rights, which frequently refers to restrictions “necessary in a democratic

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society” without further defining them yet has become an extremely successful legal document shaping government behavior in dozens of European nations. Moreover, if language were the sole problem, the United States delegation could have suggested supplemental and alternative language to remedy it.

The second weakness, however, is much more severe. The Europeans put forward their proposal during the final preparatory conference, at a time when the U.S. delegation had long since finalized its stance and was not willing anymore to engage in serious negotiations. In fact, it has been rumored that the U.S. delegation did not have a mandate that late in the process to negotiate Internet governance; they were empowered only to announce and defend as best as possible the U.S. administration’s decision to stick to the status quo. Even with best intentions, the Europeans badly misjudged the timing of their proposal.

Yet, even keeping in mind these formal weaknesses, the fundamentals of the proposal should have appealed to the United States.

B. The Potential Appeal of the Proposal to the United States

The proposal, had it been better prepared and adopted, could have bound a small but significant portion of Internet governance to abide by and uphold the beliefs of the Internet community through its own decision-making process. In large part, these beliefs reflect fundamentally Western, liberal values. Given the United States’ long tradition of embedding liberal values into constitutional documents of national and international character, the United States should have welcomed the proposal. It would

93 Id. at art. 8 § 2, art. 9 § 2, art. 10 § 2, art. 11 § 2.


95 See, e.g., Kempe, supra note 11 (describing the sharp criticism by the United States).

96 These beliefs are described in RFC 1958 and RFC 3439, documents that were collaboratively drafted and agreed upon by the very processes the original Internet community had put in place to elicit common understanding. To be sure, whether these beliefs continue to represent the heterogeneous community of about one billion Internet users worldwide, of which 35.6% are in Asia, and only 22.2% are in North America, is an open question. See Internet World Stats, World Internet Usage Statistics News and Population Stats, http://www.internetworldstats.com/stats.htm (last visited Apr. 22, 2007).

97 See Mayer-Schönberger, supra note 18, at 317-23.
also have been in alignment with its current policy of spreading freedom and democracy around the world.98 Had the United States accepted the European proposal, the dynamic at WSIS may have in fact shifted by uniting the West and putting pressure on nations like China to choose between internationalized governance embodying liberal values or a continuation of U.S. control over Internet naming and numbering. Confronting China, Iran, and other nations engaging in Internet censorship with such a choice would arguably already have been a tactical victory.

The European proposal failed almost immediately after it was proposed, when the United States declared its opposition to it, thereby sparing the Chinese and other delegations from having to respond to it in earnest. When WSIS concluded, the outcome – a watered down **pro forma** internationalization without any substantive constraints99 – did little to solve the issue. Many around the world will continue to accuse the United States of unilateralism.100 As the Internet community becomes less dominated by Western users, the pressure to internationalize governance will grow, thereby potentially tipping the United States into a defensive posture without prospects of victory.

Yet, the U.S. rejection of the European proposal is even more surprising in light of the United States’ own constitutional history. After all, at least some of the states forming the initial Union did decide to delegate power away from themselves and to a new federal body in exchange for the first ten Amendments (i.e., substantive constraints on such federal power not just vis-à-vis the states but also vis-à-vis the citizens).101 It was Jefferson, among others, who prominently suggested coupling power with constraint that made the U.S. constitutional moment possible and provided the structural foundation for the nation’s rise.102

In 1784, when Jefferson served as a minister to France for the newly independent United States, he complained in a letter to James Madison about the lack of a Bill of

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99 Section 69 of the Tunis Agenda recognizes “the need for enhanced cooperation in the future, to enable governments, on an equal footing, to carry out their roles and responsibilities, in international public policy issues pertaining to the Internet,” but in practice does not go beyond the installation of the Internet Governance Forum. See Tunis Agenda, supra note 3, §§ 69, 72-73.

100 See, e.g., Elly Plooij-van Gorsel, *Will Nations Resist Superpower Pressure and Pass the .XXX Test?*, Fin. Times (London), Mar. 25, 2006, at 10 (stating in the context of the .xxx domain that “the issue of whether US politics will dictate development of the net’s core functions has resurfaced”).


209
Rights: “I have a right to nothing, which another has a right to take away.” 103 After the Framers decided against including substantive rights in their proposal of a Constitution, the Anti-Federalists took up that fact as one of their main arguments against ratification in the state conventions. 104 They pointed out that the Constitution offered few explicit constraints on central government power. 105

The Anti-Federalists managed to negotiate what came to be known as the “Massachusetts Compromise.” 106 Thanks to the fierce resistance of John Adams and John Hancock, two Anti-Federalists in the Massachusetts State Convention, the delegates agreed to vote for the Constitution together with “recommendations” for amendments to be considered by the new Congress should the Constitution in fact be ratified. 107 In the wake of this compromise, four of the five states yet to ratify the Constitution included similar recommendations. 108 At the Virginia ratifying convention, for example, it was Madison himself who made a public commitment to work to amend the constitution. 109 On March 1, 1792, the new Secretary of State, Thomas Jefferson, certified that the Bill of Rights had become part of the U.S. Constitution. 110

In a number of ways, the European proposal offered a similar compromise. In both cases, power was to be delegated to a central body of governance. While in 1787-89, the states of the Union faced a significant loss of competences to the new federal government, in 2005, the United States was asked to give up its de facto regulatory power over Internet naming and numbering. In order to mitigate the tensions between Federalists and Anti-Federalists, the Massachusetts compromise foresaw substantive

103 Id. at 77.
104 See Bernstein & Agel, supra note 101, at 124-25.
105 See id. at 124. The fear of unconstrained central government power became a major theme in the writings of many Anti-Federalists. For example, “Brutus,” who is assumed to be Robert Yates, stated in an article that came to be known as Anti-Federalist Paper No. 84, “Ought not a government, vested with such extensive and indefinite authority, to have been restricted by a declaration of rights? It certainly ought.” Brutus, On the Lack of a Bill of Rights, New York Journal, Nov. 1, 1787, reprinted in Federalists and Antifederalists 159, 164 (John P. Kaminski & Richard Leffler eds., 2d ed., 1998).
106 See Farber & Sherry, supra note 101, at 177; see also Bernstein & Agel, supra note 101, at 125.
107 See Farber & Sherry, supra note 101, at 177.
108 See id.
110 See Bernstein & Agel, supra note 101, at 129.
constraints to make sure that the delegated power would not be used arbitrarily.\textsuperscript{111} By the same token, the European proposal limited the power to be delegated to the “new cooperative model” by including certain procedural and architectural principles as safeguards.\textsuperscript{112} Against the backdrop of the United States’ own constitutional history, the fundamental conception underlying the European proposal should therefore have resonated with the U.S. audience. Yet, in the WSIS context, the United States appeared to have rejected the essence of its own constitutional past.

C. Evaluating Reasons for Failure

In the following section, we examine four potential arguments that may explain the U.S. rejection of the European proposal: the delegation of power, objective rights, public choice, and the de-legitimization of international law and intergovernmentalism. These arguments cover different dimensions of the issue – legal, political and economic. Articulating and analyzing them may aid in understanding not just why WSIS did not culminate in a constitutional moment of Internet governance, but it also may suggest circumstances that stand to change the U.S. position in the future.

1. The Delegation of Power Argument

Entrusting an international institution to decide on Internet governance issues signifies a transfer of power currently held by ICANN to a new international body. This would indeed result in a net loss of power for ICANN, and by extension the U.S. government, and a net gain of power for all other nations represented in the envisioned international (and likely intergovernmental) Internet governance body.

Any such shift of regulatory competences from one institution to another will cause debate and likely opposition from those whose power is being reduced. Debates over the issue of power and delegation are much older than the current debate over ICANN. They permeate discussions over the creation of almost every governance body, including not surprisingly those over the ratification of the U.S. Constitution. For example, the fifth letter from the “Federal Farmer,” an Anti-Federalist polemic, warns of the potential consequences of a delegation of power away from states: “Instead of seeing powers cautiously lodged in the hands of numerous legislators, and many magistrates, we see all important power collecting in one centre, where a few men will possess them almost at discretion.”\textsuperscript{113}

Such Anti-Federalist sentiment has been voiced many times since within the United States as well as other nations. It is also not restricted to debates over federalism

\textsuperscript{111} See Farber & Sherry, \textit{supra} note 101, at 177.

\textsuperscript{112} See EU Proposal, \textit{supra} note 10, § 63.

but is present in all cases where decision-making power is being reallocated. In the late
eighteenth century, commentators in the United States feared the negative consequences
of shifting power from states to the federal level.\textsuperscript{114} In the twentieth century, many
federal legislators in the United States similarly cautioned against delegating power to
international regimes and thus away from the federal government.\textsuperscript{115} Such a reflex is not
solely present in the United States. In the European Union, for instance, national
legislators have frequently criticized and even voted against a delegation of power away
from themselves toward European Union institutions negotiated by national
governments.\textsuperscript{116}

Of course, one could argue, the U.S. government would not lose power through
the European proposal, as that power is already held by ICANN’s board, the majority of
which is international. The delegation of power away from the U.S. government, one
could suggest, has already taken place by setting up ICANN. Thus, one international
decision-making body, ICANN’s board, may have to transfer power to another
international body, the new institution envisioned by the European proposal, but doing so
would not diminish powers held by the U.S. government. In this case, U.S. opposition
could therefore not be explained by a perceived fear of power loss.

There is a difference, though, between ICANN and a truly international body. Currently,
the federal government retains formal oversight over ICANN through the
contractual relationship between the Department of Commerce and ICANN as spelled out
in the Memorandum of Understanding.\textsuperscript{117} Congress could, if it desired, reassert
regulatory control over naming and numbering, either by mandating that ICANN adhere
to certain specific policies or by taking the power over naming and numbering away from
ICANN altogether.\textsuperscript{118}

Reasserting national control would be much more difficult once naming and
numbering had been delegated to an international body. It would require that the United
States break or leave an international regime. To be sure, such a move is not without

\textsuperscript{114} \textit{Id.}

\textsuperscript{115} \textit{See, e.g.}, David P. Forsythe, \textit{The Politics of International Law} 3 (1990) (arguing that already
the two Reagan administrations “treated international law mostly as a self-serving afterthought to
of Opposition} (1990) (arguing that the Senate’s opposition to human rights treaties is a legacy of
the 1950s).

\textsuperscript{116} One of the most recent examples is the rejection of the Treaty Establishing a Constitution
for Europe by referenda in France and the Netherlands at least partly on the grounds that the
nation states are ceding too much power to the European Union. \textit{See BBC News, Q&A: EU
Constitution’s Future, http://news.bbc.co.uk/1/hi/world/europe/4596005.stm} (last visited Apr. 22,
2007).

\textsuperscript{117} \textit{See Memorandum, supra} note 26.

\textsuperscript{118} \textit{See id.;} Mueller, \textit{Ruling the Root, supra} note 6, at 197.
precedent in recent history, but it comes at a cost. In relative terms and from the vantage point of the U.S. government, it is therefore preferable to avoid formal delegation in the first place.

This argument is somewhat flawed, as even under the current regime, any attempt by the U.S. government to influence policy-making at ICANN causes significant negative international public opinion and fuels those voices that call for a complete internationalization of Internet governance. Thus, even if the United States may prefer to retain formal control over ICANN, in practice, it may find itself in the role of Swift’s Gulliver – powerful in theory, but bound in practice.

There is another dimension of the delegation of power argument that is arguably more powerful and goes beyond the debate about relative losses and gains of power. It focuses on the conditions that need to accompany a delegation of power for it to be perceived as appropriate, even perhaps by those that lose power in relative terms. In a democratic republic, the people initially hold all of the power. Government is a delegation of power by the people to an institution set up to govern. Elections and related mechanisms ensure participation by those that are governed in the exercise of power, thereby legitimizing the power delegation implicit in the election of governing institutions.

In the context of Internet governance, the European proposal, one may therefore argue, is suspect not because it shifts power from the United States to an international body, but because it shifts power from ICANN, which has significant representation by the Internet community in its policy-making Board, to an intergovernmental body that fails to represent the global Internet community. The institution the Europeans wanted to empower is simply not legitimized enough through community participation to hold such power.

This line of reasoning represents an important argument. It overlooks, however, that the European proposal combined internationalization with substantive constraints, thus binding international governance to adhere to what are in essence the values and principles of the Internet community. The power transfer envisioned by the Europeans

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119 See David E. Sanger & Michael Wines, With a Shrug, a Monument to Cold War Fades Away, N.Y. Times, June 14, 2002, at A11. On June 13, 2002, the Bush administration withdrew from the Anti-Ballistic Missile (ABM) Treaty, an international agreement with Russia that had been in force for thirty years.

120 See Pew Research Center for the People & the Press, America’s Image Further Erodes, Europeans Want Weaker Ties, Mar. 18, 2003 (stating that positive views of the U.S. have fallen from nearly 80% in Oct. 2002 to 50% in Mar. 2003 in Poland, or from 70% to 24% in Italy over the same period). See also Transatlantic Trends, Keyfindings 2005, at 7 (2005), http://www.transatlantic trends.org/trends/doc/TTRKeyFindings2005.pdf (“Despite major diplomatic efforts to mend transatlantic relations, there has been little change in European public opinion toward the United States.”).

121 See Stanley Hoffmann, Gulliver Unbound: America’s Imperial Temptation and the War in Iraq (2004).

122 See EU Proposal, supra note 10, at § 63.
is legitimized not by those that participate in international decision-making, but by the community principles such decision-making will have to adhere to.

In the U.S. constitutional context, Jefferson understood this by 1784, when he wrote to Madison from Paris about the need to simultaneously create and constrain central power. Central power needs to be created through clear delegation of competences from the states. At the same time, central power has to be restricted in how these powers may be exercised. The restrictions that Madison and Jefferson (among others) suggested were to come in the form of individual rights amending the constitutional text, leading to the “Massachusetts Compromise” and the resulting successful ratification of the U.S. Constitution. In 1787, states were willing to delegate power to a central, federal government, which had powers far beyond the Confederation it succeeded, as long as the central government was bound to adhere to substantive constraints.

The European proposal would have reduced the formal power of the U.S. government to oversee Internet naming and numbering policies. Yet, as we have explained, exercise of this power is already constrained in practice. The U.S. delegation may have seen the European proposal as problematic because it shifts power away from ICANN’s Board and the Internet community it supposedly represents. But by linking international decision making with adherence to fundamental values of the Internet community, the European proposal does in fact address this issue – at least to an extent. The delegation of power argument alone thus fails to explain U.S. rejection of the European proposal.

2. The Objective Rights Argument

The European proposal envisioned international Internet governance over naming and numbering as constrained by specific principles, in particular by what it called the architectural principles of the Internet. The EU proposal foresaw no judicial mechanism to ensure that the international body entrusted with these Internet governance competencies abides by the principles outside of the international body itself. This body was envisioned to be self-controlling (i.e., to guarantee that it follows its own principles).

Such a mechanism is different from the U.S. Constitution with its combination of guaranteed individual rights and an independent judiciary, where citizens hold the power to control government by having courts invalidate legislative actions that encroach on guaranteed individual rights. In the U.S. context, the people are individually tasked with defending their rights and freedoms with the help of independent courts, thereby establishing a forceful counterweight to the power of legislative or executive policymakers. In the U.S. constitutional rhetoric, this process is often subsumed under the rubric of checks and balances.

123 See Letter from Jefferson, supra note 102, at 76-77.

124 See EU Proposal, supra note 10, at § 63.

125 See Tribe, supra note 83, at 1293-94.
The European proposal neither included individual rights guaranteed to the Internet users nor an independent adjudicative institution these users could appeal to in case of an alleged violation of these rights by policy-makers. Such a setup must have sounded alien to U.S. delegates. When one has completely internalized a system that controls by balancing power among multiple institutions checking each other, it is hard to envision, let alone appreciate, other alternatives.

Yet, as German constitutional scholar Dieter Grimm has pointed out, all fundamental principles in constitutions constrain a state’s freedom of action, regardless of whether they are defined as individual rights that people possess and can have courts protect, or as – what he calls in reference to Carl Friedrich Gerber – “objective rights,” principles that constrain the state without giving individuals a cause of action. By constraining the power of the state, even objective rights protect the freedoms of the people. The difference between objective rights and individual rights is not one of substance, but instead one of agency and enforcement.

John Ely’s process perfectionism can be interpreted as introducing such arguments to the U.S. context. Ely suggested that the task of individual rights guaranteed by the first ten Amendments is to facilitate a fair and democratic process of government in the United States. The Bill of Rights for Ely is not an afterthought, an addition of individual liberties or a necessary compromise to facilitate the ratification of the Constitution in the thirteen founding states. Rather, Ely characterizes these rights as in line with the Constitution itself, and its potent underlying theme of ensuring a democratic process. Seen through such a conceptual lens, the democratic principle of the U.S. Constitution is manifest in its provisions and fundamental concepts, whether or not they are embodied in individual rights. Ely’s view has been criticized as too limited. But for our purposes, it is sufficient to note that even in the U.S. context, the concept of


fundamental principles – as envisioned by the European proposal – is not necessarily alien.

A further unease with objective rights may rest on an implicit mistrust of the majoritarian decision-making process. Some may argue that an independent enforcement mechanism is necessary when the state makes policies by majority. A minority claiming that a policy may violate a fundamental right could then get the courts to review and possibly strike it down on the grounds of unconstitutionality. This protects minorities from extreme majority fiat. But in contrast, one may argue, why should the majority of an international Internet governance body ever constrain itself?

Of course, the above does not fully address the central critique against objective rights – the lack of a suitable enforcement mechanism – put forward by those that have internalized the current U.S. setup. Can, to put it bluntly, a governance body truly constrain itself?

Frederick Schauer has addressed this very question, finding that a governance body could constrain itself by the implementation of rules. Every rule, he suggests, “instructs” a decision-maker to consider or not consider certain facts, reasons, and arguments by a system of rewards and punishment, “including praise and criticism.” In this regard, a rule is jurisdictional in the sense that it determines who should be considering what and thus becomes a tool for the allocation of power. This fundamental feature of rules plays out in the European proposal in which architectural principles affect the allocation of power between ICANN and the United States as well as between ICANN and a new multilateral governance body.

The European proposal does not prescribe the decision-making processes of the envisioned international body, and neither does the WSIS draft the European proposal was proposed to alter. In the absence of a concrete process of decision making, the default process requirement in international law is consensus. The consequence of a consensus regime is that any representative in the governance body fearing that a policy measure may violate the constraints placed on the body by the constitutional document can block the measure. This, however, is not equivalent to having citizens police the constitutionality of government action, but it is significantly better than merely having a majority of policy-makers in an international body certify that their majority decisions adhere to fundamental principles.

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130 See, e.g., Alexander Bickel, The Least Dangerous Branch 16-17 (2d ed. 1986) (introducing the concept of “counter-majoritarian difficulty,” which designates the tension between judicial review and majoritarian government); see also Symposium, The Counter-Majoritarian Difficulty, 95 Nw. U. L. Rev. 843 (2001).

131 Bickel, supra note 130.


133 See id. n.21.

134 Id. at 159.

135 See EU Proposal, supra note 10; Chair Proposal, supra note 53.
The U.S. delegates to WSIS may have thus viscerally opposed the European proposal because they thought that objective rights provide no credible constraint over policy-making power. But they may have been unduly influenced in their analysis by assuming that the U.S. setup of individual rights paired with an independent judiciary is necessarily the best, or even the only possible, effective constraint mechanism.

3. The Public Choice Argument

The U.S. delegates to WSIS may have had another reason to object to the European proposal. This reason is not grounded in legal but instead in political science theory.

Public choice theory applies economic analysis to political decision making, assuming that human beings – policy-makers as well as voters – act rationally by desiring to maximize their gains. Accordingly, policy-makers desire to be reelected, and voters want to maximize the benefits they receive. As individual votes are unlikely to change the result of an election, voters desiring to shape policy outcomes are much better off influencing politicians through financial contributions than by actually voting in the elections.

Utilizing public choice theory, the opposition of the U.S. delegates to the European proposal can be seen as the result of domestic political dynamics. Accepting the European proposal would have caused consequences domestically. First, it would have made it harder for federal government agencies to mandate control mechanisms of information and communication flows in the name of the war on terrorism and homeland security. International Internet governance would have possibly constrained what the U.S. government could have imposed domestically. Considering the Bush administration’s stance that in times of global terrorism, the federal government needs to retain as much power to enact security and counter-terrorism measures as possible, any


constraint (whether internal or external) on domestic policy-making in this context must have been viewed as unwelcome.

In addition, at the time of the conclusion of the WSIS process, Congress was preparing a major rewrite of the Federal Telecommunications Act. The desire of the few remaining large telecom providers, especially AT&T and Verizon, to offer tiered Internet services to different groups of customers prompted a debate on Capitol Hill as to whether or not such tiered services should be permissible. This debate was framed under the heading of network neutrality, with commentators suggesting that tiered services would violate the e2e principle. Regardless of whether this is the appropriate conceptual lens to analyze tiered services or not, accepting the European proposal might have preempted a congressional decision and alienated very powerful vested interests – two consequences the Bush administration wanted very much to avoid.

Thirdly, the existing ICANN setup sets forth a particular policy framework for assigning domain names. U.S.-based domain name registrars gain financially from this setup as much as U.S.-based domain name lawyers and arbitrators, who work within the ICANN-imposed UDRP. WIPO, the World Intellectual Property Organization, is the premier provider of dispute resolution capabilities for domain name conflicts under ICANN’s UDRP. Finally, many domain name holders and trademark owners benefit from the U.S.-centric trademark policy enshrined in the UDRP. Together, these

Granting the U.S. Government the Unprecedented Power to Circumvent American Civil Liberties in the Name of National Security, 80 U. Det. Mercy L. Rev. 427, 427-28 (2003) (analyzing the “numerous unprecedented powers that are conferred on the federal government through the expansion of search and surveillance authority”).


stakeholders have much to lose economically from any policy changes an internationalization of Internet naming and numbering might bring about and have certainly made their views known to Congress and the Administration.

With domestic law enforcement agencies, the well-organized domain name stakeholders and influential telecom providers in likely opposition to the potential consequences of the European proposal, it would have required equally powerful U.S. constituencies to change the outcome of the Tunis deliberations.

But these constituencies were nowhere to be found. For example, network equipment manufacturers will likely sell more of their products capable of controlling information flows to government agencies and concerned corporate interests at home and abroad if the e2e principle is allowed to wither away. Computer manufacturers and software companies offering products for the edges of the network have benefited from the e2e principle because users require powerful machines and sophisticated software to connect to the Internet and battle spam, spyware, worms, and viruses. Yet these investments (at least in the United States) have largely been made, thus reducing the interest of these companies to fight for the e2e principle and by extension the European proposal. Finally, Internet users are torn at best. The e2e principle rejects any filtering by gatekeepers at the heart of the network and therefore imposes the burden of protecting the endpoints from badware and spam exclusively on the individual user.142 Ironically, this “openness” has assured users access to the raw Internet information streams of the network as much as it has exposed them to the malware pitfalls of the modern Internet.143 Users of the libertarian ilk may desire the e2e principle to stay, but many others would probably not mind a bit more central control if that translated into less daily hassle with nuisances, such as spam.144 The issue of the e2e principle, even when interwoven with the network neutrality debate, is simply not prominent enough to inspire or mobilize a large portion of the user population on either side. Taken together, such a scenario results in what we have indeed witnessed: the U.S. opposition to the European proposal.

At least two fundamental criticisms can be advanced against the public choice argument suggested above. First, one may argue that public choice theory itself is disputed, and thus may not offer an accurate picture of the domestic political landscape.145 This may be true for the finer points of the theory. Yet, at the general


143 See Clark & Blumenthal, supra note 69, at 8; Zittrain, supra note 69, at 2030 (arguing that a dogmatic commitment to the e2e principle may lead to gated communities of users with locked-down PCs).

144 See generally Derek E. Bambauer, Solving the Inbox Paradox: An Information-Based Policy Approach to Unsolicited E-mail Advertising, 10 Va. J.L. & Tech. 1 (2005) (proposing an information-based framework for understanding the spam problem and discussing policy options).

145 See, e.g., Donald P. Green & Ian Shapiro, Pathologies of Rational Choice Theory (1994); Robert P. Abelson, The Secret Existence of Expressive Behavior, in The Rational Choice...
level, we suggest the public choice aspect of domestic politics has been widely accepted.\textsuperscript{146}

The second criticism focuses on the nexus between international relations and domestic politics. Based on an initial analysis of data, political scientists suggested that international relations are far enough removed from domestic politics such that governments can be much less concerned about voter sentiment in their international decisions than in their domestic ones.\textsuperscript{147} Consequently, one nation’s behavior in international relations may not be easily predictable by domestic public sentiment. Recent studies, however, have reevaluated this evidence and found that domestic politics do in fact influence international behavior when domestic constituencies see their vested interest endangered by specific international policy options.\textsuperscript{148} This may arguably be the case with the European proposal. Given the pressure on the Bush administration at the time, it seems plausible that domestic considerations have at least played a role in opposing the European proposal.

4. The International Governance Argument

U.S. revisionism vis-à-vis international law in particular and intergovernmental governance in general may offer a fourth element of explanation.

The European proposal is not simply creating another layer of power delegation within a given national legal framework, but instead it envisions a new governing body situated internationally. International law, not domestic law, would provide the context in which such governance would take place. Thus, accepting Europe’s international governance proposal would have required the United States to accept the context of international law as well as intergovernmentalism in which such an Internet governance body would have been situated.

\textsuperscript{146} See Mercuro & Medema, supra note 137 at 182.


This comes at a time when the United States is reevaluating its commitment to international law in particular and intergovernmentalism in general. Since coming to power, the Bush administration has ended the ABM treaty,149 ridiculed the Kyoto agreement,150 and “unsigned” the treaty establishing a permanent international criminal court,151 an idea the United States itself helped beget by insisting on the Nuremberg Trials152 and further through its facilitation of the creation of the International Criminal Tribunal for Rwanda153 and the International Criminal Tribunal for the former Yugoslavia.154 It has pressured Eastern European countries to sign bilateral agreements with the United States that would exempt U.S. citizens from the reach of the International


151 The United States has opposed the Rome Statute, establishing the International Criminal Court. See Samantha V. Ettari, Note, A Foundation of Granite or Sand? The International Criminal Court and United States Bilateral Immunity Agreements, 30 Brook. J. Int’l L. 205, 209 (2004) (“United States’ bilateral immunity agreements restricting the authority of the ICC contravene the United States’ duty to the international community and hence are illegal under jus cogens.”); Warren Hoge, U.S. Lobbies U.N. on Darfur And International Court, N.Y. Times, Jan. 29, 2005, at A8 (reporting that despite pushing for action against Sudan’s government, the Bush administration is lobbying the UN against assigning the case of judging the atrocities committed in Darfur to the ICC).


154 See Power, supra note 153, at 482.
Criminal Court,\textsuperscript{155} thereby undermining the court’s authority. It invaded Iraq in the spring of 2003 despite the failure to receive authorization from the United Nations Security Council, a possible violation of the United Nations Charter.\textsuperscript{156} It argued that the Geneva Convention does not apply to the large number of non-U.S. prisoners it holds in Guantanamo Bay until the U.S. Supreme Court forced the government to change its position.\textsuperscript{157} While President Bush has famously called upon the world to come together and join forces with the United States in the wake of September 11, 2001,\textsuperscript{158} the facts indicate that his administration has frequently disregarded international law and international institutions when they were not aligned with the administration’s policy objectives.

This re-evaluation of the role of international rules constraining U.S. freedom of action abroad reflects a larger conservative sentiment. For example, Michael Glennon has suggested that as international law has failed in its primary mission (i.e., to constrain nations’ behavior in the use of force),\textsuperscript{159} it would be detrimental to the United States if it


\textsuperscript{158}See George W. Bush, Address to a Joint Session of Congress and the American People (Sept. 20, 2001), http://www.whitehouse.gov/news/releases/2001/09/20010920-8.html (“This is not, however, just America’s fight. And what is at stake is not just America’s freedom. This is the world’s fight . . . . We ask every nation to join us. We will ask, and we will need, the help of police forces, intelligence services, and banking systems around the world.”).

continued to be constrained by international law.\textsuperscript{160} John R. Bolton has echoed Glennon’s thoughts, questioning whether “global governance” is even an issue worth discussing.\textsuperscript{161} These views question, as Wade Mansell has suggested, “the very bases of international law.”\textsuperscript{162}

Glennon and Bolton may be seen as holding relatively extreme views, but their basic premise is shared by a new cadre of conservative international law scholars writing and teaching at the United States’ most prestigious law schools. In 2005, for example, Harvard Law School’s Jack Goldsmith and Chicago Law School’s Eric Posner argued that nation states haven’t internalized or complied with international law, but instead “act out of self-interest.”\textsuperscript{163} For them, international law is a set of rules that can be utilized when convenient, and disregarded or even disassembled when inopportune. In essence, they argue for a legal pendant to President Bush’s “coalition of the willing.”\textsuperscript{164} In 2006, Jack Goldsmith joined forces with Columbia Law School’s Tim Wu and applied his theory of international law to the domain of Internet governance.\textsuperscript{165} Unsurprisingly, Goldsmith and Wu suggest that the Internet is shaped by national laws, dispelling myths of a lawless and borderless cyberspace\textsuperscript{166} and arguing that the paucity of international

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\textit{of Law, Prerogatives of Power: Interventionism After Kosovo} (2001); Michael J. Glennon, \textit{How International Rules Die}, 93 Geo. L.J. 939 (2005) (“[E]xcessive violation of a rule, whether embodied in custom or treaty, causes the rule to be replaced by another rule that permits unrestricted freedom of action.”).
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\textsuperscript{160} Glennon, \textit{UN Security Council}, supra note 159, at 100.

\textsuperscript{161} Bolton subsequently was chosen by the Bush administration to be the U.S. ambassador to the United Nations, where his opinions and his negotiation tactics trying to maximize United States short-term gains have made him few friends. \textit{See, e.g.}, John R. Bolton, \textit{Unsign that Treaty}, Wash. Post, Jan. 4, 2001, at A21 (“President Clinton’s last-minute decision to authorize U.S. signing of the treaty creating an International Criminal Court (ICC) is as injurious as it is disingenuous.”).


\textsuperscript{164} The term “coalition of the willing” has been used by the Bush administration to denote those nations who support the United States in the war in Iraq. Steve Schifferes, \textit{US Names “Coalition of the Willing,”} BBC News Online, Mar. 18, 2003, http://news.bbc.co.uk/2/hi/americas/2862343.stm.

\textsuperscript{165} \textit{See} Jack L. Goldsmith & Tim Wu, \textit{Who Controls the Internet?} 179-84 (2006) (arguing that nation states use their coercive powers to shape the Internet in their favor resulting in a “technological version of the cold war”).

\textsuperscript{166} For Goldsmith’s earlier work, \textit{see} Jack L. Goldsmith, \textit{Against Cyberanarchy}, 65 U. Chi. L. Rev. 1199, 1250 (1998) (“There is no general normative argument that supports the immunization of cyberspace activities from territorial regulation.”); Jack L. Goldsmith, \textit{The Internet and the
agreements on Internet governance reflects the weakness and inability of international law to offer effective solutions.167

One may be tempted to characterize these works in international relations parlance as a “realist” conception of the world.168 But the critique goes further, suggesting that the reluctance vis-à-vis international law and international governance is rooted in the values of the United States.169 Accepting international governance would therefore require subjugation or abandonment of these treasured values. From the beginning of the republic, they suggest, the United States was founded on the freedom of its people, including the freedom from external constraints.170

Many of the traditional international legal as well as constitutional scholars in the United States may disagree.171 They may point to historical evidence, including Article VI of the Constitution itself172 that affords international law – a very young and

Abiding Significance of Territorial Sovereignty, 5 Ind. J. Global Legal Stud. 475 (1998) (arguing that territorial regulation on the Internet is messy, but will remain a central component); Jack L. Goldsmith, The Internet and the Dormant Commerce Clause, 110 Yale L.J. 785 (2001) (developing a theoretical framework for analyzing Dormant Commerce Clause challenges to state Internet regulations); Jack L. Goldsmith, The Internet and the Legitimacy of Remote Cross-Border Searches, 2001 U. Chi. Legal F. 103, 104 (2001) (arguing that remote cross-border searches and seizures are consistent with international principles of enforcement jurisdiction).

167 See Goldsmith & Wu, supra note 165, at 65-85.


172 “This Constitution, and the Laws of the United States which shall be made in Pursuance thereof; and all Treaties made, or which shall be made, under the Authority of the United States, shall be the supreme Law of the Land . . .” U.S. Const. art. VI. Article VI thus makes international law – at least when created through treaties – the law of the land; whether or not Article VI extends to unwritten international law (so-called customary international law) has recently been debated. Compare Igartua-De La Rosa v. United States, 417 F.3d 145 (1st Cir. 2005) (en banc), cert. denied, 126 S. Ct. 1569 (2006), and 119 Harv. L. Rev. 1622, 1627 (2006) (suggesting Sosa v. Alvarez-Machain, 542 U.S. 692 (2004) adopted the “predominant viewpoint”
revolutionary concept in 1789 – the same power and privilege as federal law.\textsuperscript{173} They may also argue that the conservative scholars mangle historical facts to conform to their world view, much like what has been reported as the Bush administration’s desire to “create” rather than accept reality.\textsuperscript{174}

Yet, these conservative voices have succeeded in undermining the stature of international law in the public discourse, even among elites. It is not considered impolitic anymore to openly question the validity of international law, and with it any external constraints placed on national behavior – in particular when one is convinced that one is advancing a superior set of values.\textsuperscript{175}

Moreover, in recent decades United States policy-makers and scholars – not just conservative ones – have moved away from the orthodoxy of inter-governmentalism as the only appropriate solution of international governance issues.\textsuperscript{176} Inter-governmentalism rests on an understanding of the state as the sole legitimate interlocutor with other nations. This is a consequence and reflection of the view, already suggested by Hobbes, that the state needs to be the sole source of authoritative decision making within a nation.\textsuperscript{177}

As state sovereignty to effectively govern within has been questioned and in some instances replaced by alternative governance structures, in which self-governing and market forces seem to offer better or at least more efficient governance than traditional

\textsuperscript{173} See, e.g., John F. Murphy, The US and the Rule of Law in International Affairs 75-76 (2004).


\textsuperscript{176} See generally Anne-Marie Slaughter, A New World Order (2004) (illustrating how governments are increasingly working together through transnational networks).

\textsuperscript{177} See Thomas Hobbes, Leviathan 85 (J. C. A. Gaskin ed., Oxford Univ. Press 1998) (1651) (“Where there is no common power, there is no law: where no law, no injustice . . . . It is consequent also to the same condition, that there be no propriety, no dominion, no mine and thine distinct; but only that to be every man’s, that he can get; and for so long, as he can keep it.”); see also Andreas Osiander, The States System of Europe, 1640-1990 (1994); Alexander Wendt, Social Theory of International Politics (1999).
government, inter-governmentalism has similarly been exposed to calls for alternative forms of governance. More and more scholars have called for international governance beyond inter-governmentalism. At least initially ICANN has been seen as the poster-child of such new forms of governance structures, with its unique mix of national, international and self-regulatory elements. To be sure, the case of ICANN also demonstrates that alternative governance structures are only successful insofar as they are legitimate in their rulemaking and effective in enforcing them. Yet, a shift of policy-making power away from ICANN towards a more traditional inter-governmental structure, as envisioned by the European proposal, would have been contrary to strong U.S. views of the reduced role of government to solve governance issues, especially internationally. The European proposal therefore was likely impossible for the United States to accept because it suggested internationalization based on a governance structure – inter-governmentalism – that had not only fallen out of favor with the Bush administration, but also seemed to run against self-regulation by the private sector, one of the foundations of ICANN.

We evaluated four potential reasons explaining the rejection by the United States of the European proposal. Two of these reasons – the delegation of power argument and the objective rights argument – suggest structural and theoretical concerns, while the

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178 For an overview of the potential and limits of private ordering regimes, see Steven L. Schwarcz, Private Ordering, 97 Nw. U. L. Rev. 319 (2002).

179 Governance without Government: Order and Change in World Politics (James N. Rosenau & Ernst-Otto Czempiel eds., 1992); see also Joerg Friedrichs, Global Governance as the Hegemonic Project of Transatlantic Civil Society, in Criticizing Global Governance 45-68 (Markus Lederer & Philipp S. Mueller eds., 2005) (“Global governance is best described as a muddled blend of parapolitics and metapolitics, that is, as a Janus-faced combination between the continuation of politics within the societal sphere on the one hand, and the assignment of roles to international politics and transnational economics on the other.”); John G. Ruggie, Doctrinal Unilateralism and Its Limits: America and Global Governance in the New Century, in American Foreign Policy in a Globalized World 44-45 (David P. Forsythe, Patrice C. McMahon & Andrew Wedeman eds., 2006) (arguing that the global business community and nongovernmental organizations increasingly take part in a new form of “transnational civic politics” that eventually also affects the U.S. administration’s policies).


181 See Weinberg, supra note 6, at 215 (“ICANN’s legitimacy was important, in short, because failure to be perceived as an appropriate wielder of DNS authority could have left it unable to exercise that authority.”).
other two look at the domestic political process and context of the U.S. decision to oppose the European proposal. We argue that the two structural arguments fail to be persuasive, while both the public choice and the international governance argument offer complementary elements of an explanation.

CONCLUSION

Over the last several years, many have called for an internationalization of Internet governance in general, and Internet naming and numbering in particular. Especially ICANN’s power over the root servers in combination with the actual and perceived influence of the U.S. government on ICANN’s decision-making under the MoU have raised concerns over U.S. unilateralism. The multi-year WSIS process that culminated in November 2005 was intended to create momentum towards a more balanced approach. The United States, however, has long resisted such internationalization, fearing in particular the growing influence of China and similar nations.

In September 2005, towards the end of the WSIS process, the European Union put forward a remarkable – and underreported – proposal that could have offered a way out of this dilemma. It not only suggested delegation of power to an international body, but also proposed the exercise of this power be constrained by binding it to a set of both general and architectural principles. Particularly the recourse to “architectural principles of the Internet” could have imposed a substantive self-constraint different from individual rights on the new international body that could have become a constitutional moment for Internet governance.

Despite significant linguistic and tactical weaknesses of the European proposal, the swift rejection by the United States was surprising, both from a tactical as well as – in light of its own constitutional history – a substantive viewpoint. In the same way the states of the Union conceded competences to the federal government in exchange for a number of substantive constraints as embodied in the Bill of Rights in 1787-92, the United States could have conferred power to a new international body while making sure that this power could not be used arbitrarily but would be based on liberal values.

In an attempt to explain this reaction, we evaluated four possible arguments. While the delegation of power argument alone failed to explain the U.S. rejection, the objective rights argument proved to be slightly more persuasive. However, drawing on the self-constraining nature of rules and the consensus regime as the default decision-making process in international law, we showed that the European proposal might have provided a number of advantages over a mere unilateral solution.

In contrast to these two structural arguments, the public choice argument and the international governance argument are more convincing. First, according to the public choice argument, the opposition to the European proposal could also reflect domestic U.S. political dynamics. Neither manufacturers of network equipment, nor computer and software companies had an incentive to alter the outcome of the Tunis negotiations, and Internet users lacked organization to play a significant role. Second, the international governance argument suggests that the decision to reject the proposal had less to do with the U.S. administration’s views on Internet governance and more to do with a broader
reconsideration by the United States of the role of international law in particular and inter-governmentalism in general. As a result, WSIS concluded without a constitutional moment for Internet governance. It may turn out, though, to be a Pyrrhic victory for the United States. The calls for internationalization of Internet governance will not subside and the United States will have to continue to fend off demands for a transfer of power. The opportunity for Internet governance to be based on the values of the Internet community, however, may likely not return.